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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/933,535 Confirmation No. 5814  
Applicant (s) : Edward O. Shaffer II et al.  
Filed : August 20, 2001  
TC/A.U. : 2891  
Examiner : Asok Sarkar  
Title : ORGANOSILICATE RESINS AS HARDMASKS FOR  
ORGANIC POLYMER DIELECTRICS IN FABRICATION OF  
MICROELECTRONIC DEVICES  
Docket No. : 60393B  
Customer No. : 00109

<p align="center">CERTIFICATION OF FACSIMILE TRANSMISSION</p> <p>IT HEREBY CERTIFYS THAT THIS PAPER IS BEING FACSIMILE TRANSMITTED TO THE U.S. PATENT OFFICE ON THE DATE SHOWN BELOW:</p> <p align="center"><b>November 18, 2005</b></p> <p align="center">DATE OF DEPOSIT</p> <p align="center"><b>Joslyn M. Damore</b></p> <p align="center">PRINT OR TYPE NAME OF PERSON SIGNING CERTIFICATE</p> <p align="center"><i>Joslyn M. Damore</i></p> <p align="center">SIGNATURE OF PERSON SIGNING CERTIFICATE</p> <p align="center"><i>November 18, 2005</i></p> <p align="center">DATE OF SIGNATURE</p>
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to Applicant's duty of disclosure under 37 CFR §1.56, the Examiner's attention is directed to the information identified in the attached Form PTO/SB/08a. Applicant has enclosed a copy of each reference on this Form.

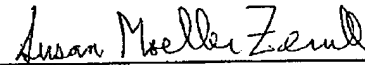
No item of information contained herein was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing date hereof.

Applicant requests that these references be reviewed, considered, and made of record. Applicant further requests that the Examiner initial the enclosed Form PTO/SB/08a indicating the Examiner has reviewed the references and return a copy of the initial Form to Applicant.

Appln. No. 09/933,535  
INFORMATION DISCLOSURE STATEMENT  
November 18, 2005

Applicant believes submission of this Statement requires no fee. If this is incorrect, please charge any fees required to Deposit Account No. 04-1512.

Respectfully submitted,



Susan Moeller Zerull  
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	09/933,535
Filing Date	August 20, 2001
First Named Inventor	Edward O. Shaffer II
Art Unit	2891
Examiner Name	Asok Sarkar
Attorney Docket Number	60393B

Sheet	1	of	1
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## U. S. PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date	
Considered	

Signature \_\_\_\_\_ Considered \_\_\_\_\_ Not Considered \_\_\_\_\_

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>Form Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.**

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